

11/06/00



JC946 U.S. PTO

Office of Intellectual Property Counsel
3M Innovative Properties Company
PO Box 33427
St. Paul, Minnesota 55133-3427
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11-07-00

27-01
Drs. Hardy

PATENT

Docket No.

53415USA8C.038

JC946 U.S. PTO

09/707269

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11/06/00

Transmittal of Continuing Patent Application - Rule 1.53(b)

Box: Patent Application
Commissioner for Patents
Washington, DC 20231

Anticipated Classification

Pursuant to 37 CFR 1.53(b), please file a

- ☒ continuation
☐ continuation-in-part
☐ divisional

application of pending prior Application No. 09/091,932, filed on June 24, 1999.

Inventor(s): L. Charles Hardy, David A. Kaisaki, Thomas E. Wood, and Heather K. Kranz

Title: METHOD OF MODIFYING A WAFER SUITED FOR SEMICONDUCTOR FABRICATION

1. ☒ Enclosed is a copy of the oath or declaration filed in the prior application.
2. ☐ Enclosed is a newly executed oath or declaration.
3. ☒ Enclosed is a copy of the specification as filed in the prior application.
4. ☐ Enclosed is a new specification.
5. ☐ Enclosed are _____ sheet(s) of drawings.
6. ☐ The entire disclosure of the prior application is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein. (not applicable for CIP)
7. ☒ Please amend the specification by inserting before the first line the sentence:

This is a continuation of Application No. 09/091,932 which is a 35 USC §371 national stage application of international application No. PCT/US98/08693 which is a continuation in part of application No. 08/846,726 filed April 30, 1997.

8. ☐ Please cancel claims _____.
9. ☒ A preliminary amendment is enclosed.
10. ☐ This application is being filed by less than all the inventors named in the prior application. Please delete the names of the following person(s) who are not inventors of the invention being claimed in this application:

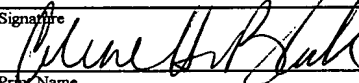
The fee for filing the application is computed as follows:

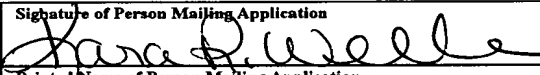
| Claims As Filed, After Accounting For Any Claims Canceled Or Added In Paragraphs 8 Or 9 Above | | | | |
|--|---------------------|---------------------|-------------|---------------------------|
| (1) For | (2) Number Filed | (3) Number Extra | (4) Rate | (5) Basic Fee \$710 |
| Total Claims | 20 -20 = | 0 | x \$18 | \$0 |
| Independent Claims | 1 -3 = | 0 | x \$80 | \$0 |
| Additional fee for filing one or more multiple dependent claims | | | \$270 | \$ 0 |
| Total Filing Fee Due → | | | | \$710 |

11. ☒ Please charge to Deposit Account 13-3723 any fees under 37 CFR 1.16 and 1.17 which may be required to file and during the entire pendency of this application. This authorization includes the fee for any extension of time under 37 CFR 1.136(a) that may be necessary. To the extent any such extension should become necessary it is hereby requested. A copy of this transmittal letter for fee processing is enclosed.
12. An assignment
☒ to 3M Innovative Properties Company is of record in the prior application.
☐ from Minnesota Mining and Manufacturing Company to 3M Innovative Properties Company has been filed/is being filed concurrently in the prior application but is not yet recorded.
☐ to _____ is enclosed along with a completed Assignment Recordation Cover Sheet.
13. ☐ A power of attorney is enclosed.
14. ☒ Enclosed is a return receipt postcard.
15. ☐ Other

Respectfully submitted,

| | |
|--------------------------|------------------|
| Registration Number | Telephone Number |
| 41,056 | (651)737-2356 |
| Date November 6, 2000 | |

| |
|---|
| Signature  |
| Print Name Colene H. Blank |

| Certificate of Express Mailing | |
|--|---|
| Pursuant to 37 CFR 1.10 I certify that this application is being deposited on the date indicated below with the United States Postal Service "Express Mail Post Office to Addressee" service addressed to: Commissioner for Patents, Washington, DC 20231. | |
| Express Mail Mailing Label No. EL509319492US | Signature of Person Mailing Application  |
| Date of Deposit November 6, 2000 | Printed Name of Person Mailing Application Kara R. Welle |

q:\3mipco forms and samples\transmittal letter - continuing patent app 1-53(b).doc Rev. 7/21/99